



## Investment Advisors Membership Form

### Firm Information

Name: \_\_\_\_\_

Address: \_\_\_\_\_ Suite/Floor: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Telephone: (\_\_\_\_) \_\_\_\_\_ Fax: (\_\_\_\_) \_\_\_\_\_

Website URL: \_\_\_\_\_

### Firm Contact

Last Name: \_\_\_\_\_ First Name: \_\_\_\_\_ Middle Initial: \_\_\_\_\_

Title(s): \_\_\_\_\_

Email Address: \_\_\_\_\_

Telephone: (\_\_\_\_) \_\_\_\_\_ Alternate number: (\_\_\_\_) \_\_\_\_\_

Fax: (\_\_\_\_) \_\_\_\_\_

Address (If different from above): \_\_\_\_\_  
\_\_\_\_\_

### Billing Contact

Last Name: \_\_\_\_\_ First Name: \_\_\_\_\_ Middle Initial: \_\_\_\_\_

Title(s): \_\_\_\_\_

Preferred Name: \_\_\_\_\_

Email Address: \_\_\_\_\_

Telephone: (\_\_\_\_) \_\_\_\_\_ Alternate number: (\_\_\_\_) \_\_\_\_\_

Fax: (\_\_\_\_) \_\_\_\_\_

Address (If different from above): \_\_\_\_\_  
\_\_\_\_\_

- If SEC registered, please provide your CRD number: \_\_\_\_\_
- If registered with your state, please provide a copy of your most recent filing with the state or a link to your state regulator’s website where your information is available:  
\_\_\_\_\_
- For investment advisors annual dues are based on total assets under management according to the following schedule:

Total AUM	Annual Dues	Special Rate
0 - \$500 million	<del>\$250</del>	\$125
\$500 million - \$1 billion	<del>\$500</del>	\$250
\$1 billion - \$10 billion	<del>\$1000</del>	\$500
\$10 billion - \$20 billion	<del>\$1250</del>	\$625
\$20 billion - \$50 billion	<del>\$2500</del>	\$1250
\$50 billion - \$100 billion	<del>\$5000</del>	\$2500
> \$100 billion	<del>\$10000</del>	\$5000



- Upon acceptance, an IMAA representative will be in contact with you for a list of the professionals in your firm who will participate in Progressive Think Tank™ meetings and have access to the IMAA website.

**Please print and fill out the form and make your check payable to IMAA.**

**Send to:**

IMAA  
 Attn: Ken Gutwillig  
 780 Third Avenue, Suite 1901  
 New York, New York 10017

**A note from the Chairman:**

IMAA is a grassroots organization, funded only by our members’ annual dues. We have intentionally tried to keep our dues low so we can capture a majority of firms in the profession. However, we understand that this is a particularly difficult year, and some firms who want to join the IMAA may be hard-pressed to allot budgetary resources to a new expense. In an attempt to be inclusive, IMAA may make deferrals of dues upon request. Please contact me personally at (212) 920-3480 if you would like to discuss such an arrangement. – Ken

Annual dues are payable in advance and become effective upon acceptance to the IMAA. In subsequent years, dues are payable on the anniversary of initial acceptance date, or the first regular business day thereafter.

Since IMAA has been incorporated as a Section 501(c)6 trade association (pending IRS approval), membership dues are tax-deductible as a business expense.